

Form PTO-1449 (Substitute) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>Information Disclosure Statement</b> <b>BY APPLICANT</b> (Use several sheets if necessary)		Attorney Docket Number <b>BEAS-01453US3</b>	Serial/Patent Number <b>10/017,368</b>				
		Applicant/Patent Owner <b>Mark S. Moriconi et al.</b>					
		Filing/Issue Date <b>December 13, 2001</b>	Group Art Unit <b>2134</b>				
<b>U.S. PATENTS</b>							
Examiner Initial		Patent Number	Issue Date	Inventor(s)	Class	Subclass	Filing Date
/Piotr Poltorak/	1	5,335,345	08/02/94	Frieder et al.			
	2	5,872,928	02/16/99	Lewis et al.			
	3	5,941,947	08/24/99	Brown et al.			
	4	6,029,196	02/22/00	Lenz			
	5	6,055,637	04/25/00	Hudson et al.			
	6	6,088,679	07/11/00	Barkley			
	7	6,243,747	06/05/01	Lewis et al.			
	8	6,304,881	10/16/01	Halim et al.			
	9	6,477,543	11/5/02	Huang et al.			
	10	7,272,625	09/18/07	Hannel et al.			
<b>U.S. PATENT PUBLICATIONS</b>							
Examiner Initial		Patent Application Publication Number	Publication Date	Applicant			
	1	2004/01534451	08/05/04	Phillips et al.			
	2	2006/0167858	07/27/06	Dennis et al.			
<b>NON-PATENT LITERATURE DOCUMENTS</b>							
(Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, pages, volume-issue number(s), publisher, city and/ or country where published.							
	1	BERTINO, ELISA, et al., "TRBAC: A Temporal Role-Based Access Control Model," ACM Transactions on Information and System Security (TISSEC), Vol. 4, Issue 3, pages 191-223 (August 2001).					
↓	2	COVINGTON, MICHAEL J., et al., "Securing Context-Aware Applications Using Environment Roles," ACM Workshop on Role Based Access Control, Proceedings of the Sixth ACM Symposium on Access Control Models and Technologies, pages 10-20 (2001).					
/Piotr Poltorak/	3	GEORGIADIS, CHRISTOS K., et al., "Flexible Team-Based Access Control Using Contexts," ACM Workshop on Role Based Access Control, Proceedings of the Sixth ACM Symposium on Access Control Methods and Technologies, pages 21-27 (2001).					
<b>Examiner</b>			/Piotr Poltorak/		<b>Date Considered</b>		12/20/2007

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/Piotr Poltorak/	4	GOH, CHEH, et al., "Towards a more Complete Model of Role," Symposium on Access Control Models and Technologies, Proceedings of the Third ACM Workshop on Role-Based Access Control, pages 55-61 (1998).
	5	HAYTON, R.J., et al., "Access Control in an Open Distributed Environment," 12 pages (1998).
	6	International Search Report and Written Opinion for PCT/US06/10451 dated October 19, 2007, 7 pages.
	7	KOVED, L., et al. "Security Challenges for Enterprise Java in an E-business Environment," IBM Systems Journal, vol. 40, no. 1, pages 130-152 (January 2001).
	8	Microsoft Windows ("Windows Explorer") copyright 1981-2000, 3 pages.
	9	SANDHU, RAVI S., et al., "Role-Based Access Control Models," IEEE Computer, Vol. 29, No. 2, pages 38-47 (1996).
↓	10	TZELEPI, SOFIA K., et al., "A Flexible Content and Context-Based Access Control Model for Multimedia Medical Image Database Systems," International Multimedia Conference, Proceedings of the 2001 Workshop on Multimedia and Security, pages 52-55 (October 5, 2001).
/Piotr Poltorak/	11	YAO, WALT, et al., "A Model of OASIS Role-Based Access Control and its Support for Active Security," ACM Workshop on Role Based Access Control, Proceedings of the Sixth ACM Symposium on Access Control Methods and Technologies, pages 171-181 (2001).

  

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<p><b>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</b></p> <p>*1 = Copy not submitted because it was submitted in prior application SN ____ / ____, filed ____, 20__, relied on under 35 USC §120.          *2 = Copy not submitted because it was submitted in prior application SN ____ / ____, filed ____, 20__, relied on under 35 USC §120.</p>	

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Examiner Initial		Patent Number	Issue Date	Inventor(s)	Class	Subclass	Filing Date
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	3	5,757,669	05/26/98	Christie et al.			
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	5	6,108,687	08/22/00	Craig			
	6	6,377,973	04/23/02	Gideon			
	7	7,076,652	07/11/06	Ginter et al.			
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	9	6,122,647	09/19/00	Horowitz et al.			
	10	6,477,543	11/5/02	Huang et al.			
	11	6,292,900	09/18/01	Ngo et al.			
	12	6,397,231	05/28/02	Salisbury et al.			
	13	5,954,798	09/21/99	Shelton et al.			
	14	6,054,910	04/25/00	Tada et al.			
	15	6,633,538	10/14/03	Tanaka et al.			
	16	6,412,070	06/25/02	Van Dyke et al.			

**U.S. PATENT PUBLICATIONS**

Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
	1	2006/0122882	06/08/06	Brown et al.

**NON-PATENT LITERATURE DOCUMENTS**

(Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, pages, volume-issue number(s), publisher, city and/ or country where published.)

/Piotr Poltorak/	1	Lee, Amy J., et al., "Keeping Virtual Information Resources up and Running," IBM Press, pages 1-14 (November 1997).
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/Piotr Poltorak/	2	Rossi, Gustavo, et al., "Designing Personalized Web Applications, ACM, WWW 10, Hong Kong, May 1-5, 2001, pages 275-284.	
<u>Examiner</u> /Piotr Poltorak/		<u>Date Considered</u> 12/20/2007	
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